



1 **Draft guidance on**
2 **managing**
3 **applications for**
4 **medical device**
5 **licences**



Health Canada is the federal department responsible for helping the people of Canada maintain and improve their health. Health Canada is committed to improving the lives of all of Canada's people and to making this country's population among the healthiest in the world as measured by longevity, lifestyle and effective use of the public health care system.

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65 **Foreword**

66 Guidance documents provide assistance to industry on how to comply with governing
67 statutes and regulations. They also provide guidance to Health Canada staff on how
68 mandates and objectives should be met fairly, consistently and effectively.

69 Guidance documents are administrative, not legal, instruments. This means that flexibility
70 can be applied. However, to be acceptable, alternate approaches to the principles and
71 practices described in this document must be supported by adequate justification. They
72 should be discussed in advance with the relevant program area to avoid the possible
73 finding that applicable statutory or regulatory requirements have not been met.

74 As always, Health Canada reserves the right to request information or material, or define
75 conditions not specifically described in this document, to help us adequately assess the
76 safety, effectiveness or quality of a medical device. We are committed to ensuring that
77 such requests are justifiable and that decisions are clearly documented.

78 This document should be read along with the relevant sections of the regulations and other
79 applicable guidance documents.

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Document change log

Date	Location (section, paragraph)	Nature of and reason for change
February 20, 2025	Reconsideration process	Updated to reflect the revamped reconsideration process and include a reconsideration process map and request for reconsideration form.
	Deficient applications – additional information letter	Included information on the different types of additional information letters.
	Withdrawal letter (under Screening process)	Included information on withdrawing an application during the screening process.
	Deficient applications – screening deficiency letter	Adjusted response times to screening deficiency letters to ensure consistency across applications.
	Clarification request (under Regulatory screening)	Included information on clarification requests during regulatory screening.
	Full document	Edited to make clearer and enhance flow and readability.
April 1, 2020	Full document	Made significant changes as new fees and a revised fee policy came into force on April 1, 2020.

Date	Location (section, paragraph)	Nature of and reason for change
November 4, 2019	Full document	Revised the format of the guidance document.
January 11, 2019	Full document	Rewrote content to make clearer and conform to good guidance practices.
	Full document	Removed information on investigational testing authorizations as this information is now published in a separate guidance titled <i>Applications for Medical Device Investigational Testing Authorizations Guidance Document – Summary</i> .
	Full document	Added information on new and amendment private label licence applications.
	Full document	Updated text in fee status sections throughout document for clarity and consistency.
	Pause the clock	Included information resulting from “pause the clock” consultation.

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177 **Overview**

178 **Purpose**

179 Medical devices are classified into 1 of 4 classes, where Class I represents the lowest risk
180 and Class IV represents the highest risk. Under the [Medical Devices Regulations](#)
181 (regulations), a manufacturer of a Class II, III or IV medical device must hold a medical
182 device licence (MDL) or an amended MDL for the device before they can import or sell it in
183 Canada.

184 This guidance document outlines how Health Canada manages applications for MDLs.

185 **Scope and application**

186 This guidance document applies to the following types of MDL applications submitted
187 under Part 1 of the regulations:

- 188 • new and amendment Class II applications
- 189 • new and amendment (significant change) Class III applications
- 190 • new and amendment (significant change) Class IV applications
- 191 • minor change applications **and**
- 192 • new and amendment private label applications

193 Learn more:

- 194 • [Medical device application forms](#)
- 195 • [Guidance for the interpretation of significant change of a medical device](#)

196 It does not apply to applications for authorizations submitted under Part 1.1 of the
197 regulations.

198 **Policy objectives**

199 Our goal in providing guidance on the processes for managing MDL applications is to
200 ensure consistency and predictability. For this reason, we have included target timelines
201 and performance standards at key steps along the way.

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203 **Filing applications**

204 **Resources**

205 There are numerous resources available to help manufacturers prepare and file medical
206 device licence (MDL) applications.

207 If you're a manufacturer and you wish to file an MDL application, you should consult the
208 following forms, tools, policies and guidelines:

- 209 • [MDL application forms](#)
- 210 • [Device Advice: e-Learning tool](#)
- 211 • [Policy documents on medical devices](#)
- 212 • [Guidance documents on medical devices](#)

213 You may also contact Health Canada's Medical Devices Directorate (MDD) before filing an
214 MDL application if you still have questions. For information on pre-submission meetings
215 with MDD, consult:

- 216 • [Medical device meetings draft guidance document](#)

217 **Fees**

218 For information on MDL application fees, consult:

- 219 • [Guidance document: Fees for the review of medical device licence applications](#)

220 **Where to send applications**

221 New and amendment MDL applications, and all application-related documents (for
222 example, solicited and unsolicited information) should be sent by email to [devicelicensing-](mailto:devicelicensing-homologationinstruments@hc-sc.gc.ca)
223 homologationinstruments@hc-sc.gc.ca.

224 Applications and application-related documents over 20 megabytes should be put on
225 electronic media and mailed to:

226 Bureau of Licensing Services
227 Medical Devices Directorate
228 Health Canada

229 11 Holland Ave, Tower A
230 Address Locator: 3002A
231 Ottawa ON K1A 0K9

232 For a list of acceptable media formats, consult:

- 233 • [Draft Health Canada IMDRF table of contents for medical device applications](#)
234 [guidance](#)

235 Target timelines and performance standards

236 The following table gives the target timelines and performance standards for each type of
237 MDL application.

238 **Table 1: Target timelines and performance standards (in calendar days) for MDL**
239 **applications**

Type of application	New and amendment Class II applications	New and amendment (significant change) Class III applications	New and amendment (significant change) Class IV applications	Minor change applications	New and amendment private label applications
Administrative screening	4 ¹	4 ¹	4 ¹	4 ¹	4 ¹
Screening period					
Regulatory screening	12 ¹	5 ¹	5 ¹	4 ¹	12 ¹
Technical screening	n/a	7 ¹	7 ¹	n/a	n/a
Administrative processing	3 ¹	3 ¹	3 ¹	3 ¹	3 ¹

Type of application	New and amendment Class II applications	New and amendment (significant change) Class III applications	New and amendment (significant change) Class IV applications	Minor change applications	New and amendment private label applications
Target performance standard ²	15 ²	n/a	n/a	n/a	15 ²
Review period					
Review 1 ³	n/a	57 ¹	72 ¹	n/a	n/a
Administrative processing	n/a	3 ¹	3 ¹	n/a	n/a
Target performance standard ²	n/a	60 ²	75 ²	n/a	n/a
Review 2 ⁴	n/a	42 ¹	42 ¹	n/a	n/a
Administrative processing	n/a	3 ¹	3 ¹	n/a	n/a

240 n/a: not applicable

241 ¹ Target timeline (non-cost recovered target) for the application type

242 ² Target performance standard (cost recovered target) for application type is the number of
243 days from the date an application is accepted for screening or review to the date of first
244 decision

245 ³ Period from the date an application is accepted for review (date of screening acceptance
246 letter) to the date of first decision (excludes 3 days for administrative processing and
247 review clock pauses)

248 ⁴ Period from the date a response to an additional information letter is received to the date
249 of subsequent decision (excludes 3 days for administrative processing and review clock
250 pauses)

251 **Market authorization time**

252 Market authorization time is the average time it takes between when an MDL application is
253 received and an MDL is issued. This period covers the entire application management
254 process, including any time additional information is requested and evaluated.

255 You can stay informed about our latest average market authorization times by visiting
256 [Medical devices – market authorization time](#).

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272 **Screening process**

273 **Administrative screening**

274 Health Canada's Medical Devices Directorate (MDD) screens all medical device licence
275 (MDL) applications for administrative completeness. For example, we check that the fee
276 form is complete and the folder structure, file naming convention and file formats are
277 acceptable.

278 Our goal is to complete this part of the screening process within 4 calendar days of
279 receiving an application.

280 **Acceptable applications**

281 You are notified once your application is found to be administratively complete and is
282 assigned a unique application number. Your application is then forwarded for regulatory
283 screening.

284 Fee status: For new and amendment Class II applications and new and amendment
285 private label applications, 100% of the applicable fee is charged once an application is
286 considered administratively complete.

287 **Deficient applications**

288 We will issue a request for outstanding information for an administratively deficient
289 application. You have 10 calendar days to provide this information.

290 **Rejection letter**

291 We may issue a rejection letter if you fail to respond to a request for outstanding
292 information within the specified timeframe or the information that's provided is deficient or
293 not complete.

294 You may resubmit your application at any time. Rejection of the previous application does
295 not prejudice refiling. We will process the refiled application as a new application. Learn
296 more about [refiling an application](#).

297 Fee status: We do not charge fees if an application is rejected at the administrative
298 screening stage.

299 **Regulatory screening**

300 Administratively complete MDL applications are examined for validity of the regulatory
301 information. In this stage, we validate the:

- 302 • device risk classification
- 303 • licence application type
- 304 • manufacturer's quality management system certification
- 305 • device labelling **and**
- 306 • supporting information (information that's not scientific evidence)

307 Learn about [licence application types](#).

308 **Acceptable applications**

309 **New and amendment Class II applications, and new and amendment private label**
310 **applications**

311 New and amendment Class II applications, and new and amendment private label
312 applications are examined for validity of the regulatory information. Our goal when we
313 receive an administratively complete application is to complete this examination within 15
314 calendar days (12 days for regulatory screening and 3 days for administrative processing).

315 We intend to issue an MDL (or amended MDL) within this period if the device that's the
316 subject of the application meets the applicable requirements of the Medical Devices
317 Regulations (regulations).

318 Learn more about [target timelines and performance standards](#).

319 **New and amendment (significant change) Class III and Class IV applications**

320 New and amendment (significant change) Class III and IV applications are examined for
321 validity of the regulatory information. Our goal when we receive an administratively
322 complete application is to complete this examination within 5 calendar days.

323 After regulatory screening, these applications go through the technical screening stage.

324 Following the technical screening stage and if the new or amendment (significant change)
325 Class III or IV application is acceptable, we issue a screening acceptance letter (SAL).

326 Learn more about [technical screening](#).

327 **Minor change applications**

328 Minor change applications are examined for validity of the regulatory information. Our goal
329 when we receive an administratively complete application is to complete this examination
330 within 7 calendar days (4 days for regulatory screening and 3 days for administrative
331 processing).

332 We intend to issue an amended MDL within this period if the device that's the subject of
333 the amendment application meets the applicable requirements of the regulations.

334 **Clarification request**

335 You may be asked to clarify or add more details to information provided in an application
336 at any point during the regulatory screening process. To be considered a clarification
337 request, the information that's requested will be minor (for example, to clarify the Global
338 Medical Device Nomenclature (GMDN) codes assigned to devices).

339 We will not ask for new information as part of a clarification request. There is no limit on
340 the number of clarification requests that we may issue for 1 application. We will, however,
341 not repeat the same request.

342 You may have as few as 2 calendar days to submit the requested information. The clock
343 does not stop when we issue a clarification request.

344 A screening deficiency letter (SDL) may be issued if the requested information is not
345 provided within the specified timeframe or the information provided is deficient or
346 incomplete.

347 **Deficient applications — screening deficiency letter**

348 **New and amendment Class II applications, and new and amendment private label** 349 **applications**

350 An SDL is issued when we identify deficiencies while examining the validity of a new or
351 amendment Class II application or new or amendment private label application.

352 You have 15 calendar days from the date of the SDL to submit the requested information.
353 A new 15-calendar day screening period starts when we receive an administratively

354 complete response (12 days for regulatory screening and 3 days for administrative
355 processing).

356 **New and amendment (significant change) Class III and Class IV applications**

357 An SDL is issued when we identify deficiencies during the regulatory or technical
358 screening of a new or amendment (significant change) Class III or IV application.

359 You have 15 calendar days from the date of the SDL to submit the requested information.
360 Your response to the SDL is acknowledged. A new 15-calendar day screening period
361 starts when we receive an administratively complete response (5 days for regulatory
362 screening, 7 days for technical screening and 3 days for administrative processing).

363 **Minor change applications**

364 An SDL is issued when we identify deficiencies while examining the validity of a minor
365 change application.

366 You have 15 calendar days from the date of the SDL to submit the requested information.
367 A new 7-calendar day screening period starts when we receive an administratively
368 complete response (4 days for regulatory screening and 3 days for administrative
369 processing).

370 **Rejection letter**

371 Before a decision is made, you are given an opportunity to address deficiencies in your
372 application using the SDL mechanism.

373 We may issue a rejection letter if you:

- 374 • fail to submit the information requested in the SDL within the 15 calendar days
375 specified **or**
- 376 • submit incomplete or deficient information

377 You may resubmit your application at any time. Rejection of the previous application does
378 not prejudice refiling. The refiled application is processed as a new application. Learn
379 more about [refiling an application](#).

380 As an alternative, you may ask for the decision to reject your application to be
381 reconsidered. Learn about the [reconsideration process](#).

382 **Technical screening**

383 New and amendment (significant change) Class III and Class IV MDL applications are
384 screened for technical completeness.

385 Our goal is to ensure the necessary scientific evidence used to support the application is
386 included. The required scientific evidence is defined in the regulations and described in
387 various guidance documents.

388 Learn about [supporting evidence requirements](#).

389 **Acceptable applications**

390 After regulatory screening, new and amendment (significant change) Class III and IV
391 applications are screened for technical completeness. Our goal when we receive an
392 administratively complete application is to complete the screening within 15 calendar days
393 (5 days for regulatory screening, 7 days for technical screening and 3 days for
394 administrative processing).

395 An SAL is issued when the information and material submitted is considered acceptable
396 for review.

397 Fee status: For new and amendment (significant change) Class III and IV applications,
398 100% of the applicable fee is charged when an SAL is issued.

399 **Deficient applications — screening deficiency letter**

400 An SDL is issued when we identify deficiencies during the screening of a new or
401 amendment (significant change) Class III or IV application. An application is deficient if the
402 required information is not provided or does not contain enough detail. The information
403 that's required is defined in the regulations and described in various guidance documents.

404 Learn about [submission requirements](#).

405 You have 15 calendar days from the date of the SDL to submit the requested information.
406 Your response is acknowledged. A new 15-calendar day screening period starts when we
407 receive an administratively complete response (5 days for regulatory screening, 7 days for
408 technical screening and 3 days for administrative processing).

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410 **Rejection letter**

411 Before a decision is made, you are given an opportunity to address deficiencies in your
412 application using the SDL mechanism.

413 We may issue a rejection letter if you:

- 414 • fail to submit the information requested in the SDL within the 15 calendar days
415 specified **or**
- 416 • submit incomplete or deficient information

417 You may resubmit your application at any time. Rejection of the previous application does
418 not prejudice refiling. The refiled application is processed as a new application. Learn
419 more about [refiling an application](#).

420 As an alternative, you may ask for the decision to reject your application to be
421 reconsidered. Learn about the [reconsideration process](#).

422 Fee status: For new and amendment (significant change) Class III and IV applications,
423 10% of the applicable fee is charged when a rejection letter is issued.

424 **Withdrawal letter**

425 During the screening process, you may withdraw your MDL application by informing MDD
426 of your intent in a withdrawal letter. Your withdrawal letter is acknowledged.

427 You may resubmit your application at any time. Withdrawing the application does not
428 prejudice refiling. The refiled application is processed as a new application. Learn more
429 about [refiling an application](#).

430 Fee status: For new and amendment (significant change) Class III and IV applications,
431 10% of the applicable fee is charged when an application is withdrawn (after the SDL is
432 issued but before the application is accepted into review).

433 **Administrative processing**

434 There is a 3-day administrative processing period for all MDL applications. During this
435 period, we will:

- 436 • generate and issue the appropriate regulatory decision letter **or**

437 • issue an MDL or amended MDL

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466 **Review process**

467 **Target performance standards**

468 Once a screening acceptance letter (SAL) is issued, new and amendment (significant
469 change) Class III and IV medical device licence (MDL) applications enter the review
470 queue.

471 The target performance standard for review (number of days from the date of the SAL to
472 the date of the first decision, including 3 days for administrative processing) is:

- 473 • 60 calendar days for new and amendment (significant change) Class III applications
- 474 • 75 calendar days for new and amendment (significant change) Class IV applications

475 **Acceptable applications**

476 Our goal is to issue or amend an MDL within the target performance standards if the
477 device that's the subject of the application meets the applicable requirements of the
478 Medical Devices Regulations (regulations).

479 **Clarification request**

480 During the review process, we may ask you to clarify or add more details to the information
481 or data in your MDL application. To be considered a clarification request, the information
482 that's requested will be minor, such as to clarify:

- 483 • if the evidence provided in the test report includes the subject device
- 484 • the software version of the device used in testing

485 We will not ask for new information as part of a clarification request. There is no limit to the
486 number of clarification requests that may be issued for 1 application. We will not, however,
487 repeat the same request.

488 You may have as few as 2 calendar days to submit the requested information. The review
489 clock does not stop with a clarification request.

490 We may issue an additional information (AI) letter if the requested information is not
491 provided within the specified timeframe or the information provided is deficient or
492 incomplete.

493 **Deficient applications — additional information letter**

494 During or following a review of a new or amendment (significant change) Class III or IV
495 MDL application, we will notify you of any deficiencies or missing information in an AI
496 letter. The review clock is stopped from the date of the letter.

497 An acknowledgement is issued once we receive the requested information in response to
498 the AI letter.

499 Your application is then placed back in queue for review and a new 45-calendar day
500 review period begins (including 3 days for administrative processing). We reserve the right
501 during this stage to ask for clarification of the information submitted.

502 The following information explains the different types of AI letters.

503 **Additional information — deficiency letter**

504 An additional information — deficiency (AI-D) letter is sent if we find the application is
505 incomplete or deficient after reviewing it. The letter will specify the issues that make the
506 application deficient or incomplete.

507 You have 60 calendar days from the date of the letter to submit the requested information.

508 Usually no more than 2 AI-D letters are issued, but additional letters may be issued in
509 certain situations.

510 **Additional information — noncompliance letter**

511 An additional information — noncompliance (AI-N) letter is sent if we:

- 512 • identify significant deficiencies or omissions that make it challenging to continue
513 reviewing the application
- 514 • find you have made a false or misleading statement in the application

515 You have 10 calendar days from the date of the letter to submit the requested information.
516 (Note: The response timeline is shorter than for an AI-D scenario and the review of the
517 application is not complete when an AI-N letter is issued.)

518 More than 1 AI-N letter may be issued for an application and an AI-N letter may be
519 followed by an AI-D letter or a refusal letter.

520 **Unsolicited information**

521 During the review process, you may submit:

- 522 • updated information on the regulatory status of your medical device in other
523 countries **and**
- 524 • updated information on the safe use of the device
- 525 ○ includes updated safety-related labelling and problem reports submitted to other
526 regulatory agencies

527 Unsolicited information does not include significant changes to the device under review or
528 to the scope of the review (this requires a new application).

529 When filing unsolicited information, you should clearly indicate it is unsolicited and
530 reference the relevant application number in the cover letter so we can forward this new
531 information to the appropriate review team.

532 **Pause the clock**

533 Pause the clock is a mechanism that allows for the review clock to be formally paused
534 under certain circumstances. When there's a pause, the target date is changed to account
535 for the amount of time the clock has been paused.

536 The clock can pause only during the review period and in the following cases:

- 537 • For a combination product that is subject to the Medical Devices Regulations, the
538 device review clock could pause when the review of the device portion of the
539 product results in a positive recommendation, but the review of the drug portion is
540 ongoing.
 - 541 ○ You are notified of the pause and the clock resumes when the drug review is
542 completed.
- 543 • For linked MDL applications where different timelines apply (for example, a Class III
544 implantable device and its associated Class II delivery system, where a system
545 licence application type may not be possible), the review clock could pause when
546 the review of the application with the shorter timeline is complete, but the review of
547 the linked device application is outstanding.
 - 548 ○ You are notified of the pause and the clock resumes when we have completed
549 the review of the linked device application.

550 Learn about [combination products](#).

551 **Withdrawal letter**

552 You may withdraw your MDL application at any time during the review process by
553 informing the Medical Devices Directorate (MDD) of your intent in a withdrawal letter.
554 Withdrawal letters are acknowledged.

555 You may resubmit your application at any time. Withdrawing the application does not
556 prejudice refiling. The refiled application is processed as a new application. Learn more
557 about [refiling an application](#).

558 **Refusal letter**

559 Before a decision is made, you are given an opportunity to address deficiencies in your
560 MDL application using the AI letter mechanism.

561 We may issue a refusal letter if you:

- 562 • fail to submit the information requested in the AI letter within the time specified
- 563 • submit an incomplete or deficient response
- 564 • fail to comply with the regulations or any provisions of the Food and Drugs Act
565 relating to medical devices **or**
- 566 • make a false or misleading statement

567 The refusal letter contains the specific reasons or deficiencies that resulted in the decision
568 to refuse to issue or amend an MDL.

569 You may resubmit your application at any time. Refusal of the previous application does
570 not prejudice refiling. The refiled application is processed as a new application. Learn
571 more about [refiling an application](#).

572 As an alternative, you may ask for the refusal decision to be reconsidered. Learn about the
573 [reconsideration process](#).

574 **Administrative processing**

575 New and amendment (significant change) Class III and IV MDL applications are subject to
576 a 3-day administrative processing period. During this period, we will:

577 • generate and issue the appropriate regulatory decision letter **or**

578 • issue an MDL or amended MDL

579 **Review reports**

580 If you receive a refusal letter, you may ask for the review reports by writing to MDD and
581 referencing the application number. We try to provide a copy of the requested reports
582 within 15 calendar days of receiving the request.

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599 **Refiled applications**

600 **Introduction**

601 You may refile a medical device licence (MDL) application that was withdrawn previously
602 or an application that received a rejection letter or refusal letter. A refiled application is
603 considered a new application.

604 You are notified once your refiled application is found to be administratively complete and
605 is assigned a new application number. A refiled application is managed according to this
606 guidance document and is subject to new policies, procedures and guidance documents
607 that may be in effect at the time of refiling.

608 Fee status: Refiled applications are subject to the same application fees and fee
609 schedules as original applications.

610 **Refiling within 6 months**

611 If an MDL application is refiled within 6 months of a refusal letter or withdrawal letter, you
612 have the option to submit only the material requested in the outstanding AI letter or listed
613 in the refusal letter. However, the original material previously filed must be cross-
614 referenced.

615 If you cross-reference the original material, you must in the refiled application:

- 616 • certify the original material remains unchanged
- 617 • identify new and previously submitted information in the table of contents section

618 **Refiling after 6 months**

619 If an MDL application is refiled after 6 months of a refusal letter or withdrawal letter, you
620 must submit a completely new application (no cross-referencing to previously submitted
621 material is allowed). This approach reflects the dynamic nature of medical device
622 development.

623 In the refiled application, you should:

- 624 • indicate the components that were previously filed
- 625 • certify the information that remains unchanged

- highlight any revisions

Refiling after a rejection letter

If an MDL application is refiled following a rejection letter, you must submit a completely new application (no cross-referencing to previously submitted material is allowed).

647 **Reconsideration process**

648 **Introduction**

649 When a negative decision is issued for a new or amendment medical device licence (MDL)
650 application, the manufacturer may ask for the decision to be reconsidered. This is set out
651 in paragraph 38(3) of the Medical Devices Regulations (regulations).

652 The reconsideration process provides a mechanism for reopening the decision to ensure it
653 was made fairly and in accordance with the regulations.

654 During the reconsideration process, you may present and explain your position. However,
655 you can only base your position on information that was available to Health Canada's
656 Medical Devices Directorate (MDD) when the original decision was made.

657 Independent scientific, medical or regulatory experts will consider your position and make
658 a recommendation to MDD's Director General or delegate. The Director General will let
659 you know if the original decision is upheld, partially amended or fully amended.

660 **Key steps**

661 In this section, we outline the key steps in the reconsideration process. Timelines for MDD
662 are target timelines and while we make every effort to meet these targets, unforeseen
663 delays can occur.

664 **Decisions eligible for reconsideration**

665 You may file a request for reconsideration to the Director General following a:

- 666 • rejection letter **or**
- 667 • refusal letter

668 A request for reconsideration should be based on the issues identified in the decision
669 letter. Examples of issues that may lead to a request for reconsideration include:

- 670 • applied methodology
- 671 • interpretation of available data
- 672 • relative weight given to data and its impact on the risk-benefit assessment

673 Request for reconsideration

674 You should submit a request for reconsideration to the Director General within 30 calendar
675 days of receiving the negative decision letter. To do so, fill out the prescribed form (at end
676 of this document) and email to devicelicensing-homologationinstruments@hc-sc.gc.ca.

677 The request for reconsideration should include:

- 678 • a copy of the negative decision letter for which the reconsideration is requested **and**
- 679 • your position and rationale for each issue for which you are requesting
680 reconsideration
 - 681 ○ should cross-reference to points in the decision letter and previously submitted
682 information, as applicable

683 Reconsideration is based only on the information that was contained in the application at
684 the time of the original decision. While you may clarify previously submitted information,
685 you may not reference or include new information in the request for reconsideration.
686 Information that alters the original application (such as new data, studies, labelling or
687 quality management system certificate, or different analyses of existing data) will not be
688 considered.

689 If you do not file a request for reconsideration or if you withdraw a request, the original
690 negative decision stands.

691 Letter of eligibility or ineligibility

692 MDD staff not previously involved in the application will screen the request for
693 reconsideration. Within 15 calendar days of its receipt, a letter will be issued to you
694 indicating if your request is eligible for reconsideration. If it is, the letter will also identify the
695 reconsideration process pathway that the Director General selected (internal review or
696 external panel review).

697 Reconsideration process pathways

698 The reconsideration process may involve a review by independent Health Canada experts
699 or, in exceptional circumstances, an external panel of experts. Selection of a
700 reconsideration process pathway is at the sole discretion of the Director General. When
701 selecting a pathway, every effort is taken to ensure fairness, impartiality and responsible

702 stewardship of resources. Selection is also based on the type of issues under
703 reconsideration and the availability of expertise within Health Canada.

704 The target timeframes (period from the date the request for reconsideration is received to
705 the date the reconsideration decision is issued) for the reconsideration process pathways
706 are:

- 707 • internal review based on written submissions: 50 days
- 708 • internal review based on written submissions and a reconsideration meeting: 85
709 days
- 710 • external panel review based on written submissions and a reconsideration meeting:
711 140 days

712 **Internal review**

713 The internal reconsideration review staff are identified within 10 calendar days of the date
714 of the letter of eligibility.

715 To uphold fairness and impartiality, the internal review process pathway provides for an
716 independent review by 1 or more experts within Health Canada who were not involved in
717 the original decision. The staff involved in the original decision (original bureau) may be
718 asked, however, to clarify their decision.

719 Reconsideration of the original decision may also involve consulting an internal or external
720 expert.

721 You may choose to have the internal reconsideration review be based on written
722 submissions or written submissions and a reconsideration meeting.

723 **External panel review**

724 The pathway involving a review by a panel of scientific or medical experts outside of the
725 federal government may be chosen if:

- 726 • an internal expert who was not previously involved is not available
- 727 • MDD determines that external perspectives are required
- 728 • the review involves highly specialized scientific or clinical matters

729 The Director General may consider a request for reconsideration without referring to an
730 external panel if MDD has a recent outside independent expert opinion on the specific
731 issue. Also, issues that concern the submission of false or misleading information are not
732 appropriate for review by an external panel.

733 **Establishment of an external panel**

734 An external panel consists of 3 members:

- 735 • 1 member selected by the Director General from nominations by the manufacturer
- 736 • 1 member selected by the Director General from nominations by the original bureau
- 737 • 1 member appointed by the Director General who will chair the panel

738 **Eligibility and selection of external panelists**

739 The manufacturer and original bureau are both asked to provide, within 10 calendar days
740 of the date of the letter of eligibility, a list of nominees with expertise relevant to the issues
741 being reconsidered.

742 External panel members must meet conflict of interest (COI) and security clearance
743 requirements. To enable nominees to comply with COI requirements, the manufacturer
744 and original bureau must not contact the nominees or provide them with any material for
745 review.

746 Learn more about [Health Canada's conflict of interest policy for external advisory bodies](#).

747 The Director General selects panel members after the screening process and within 15
748 calendar days of receiving the names of the nominees. Selection is based on eligibility
749 (pending security clearance), experience, expertise and analytical skills relevant to the
750 issues being reconsidered.

751 **Questions for the external panel**

752 You and the original bureau will be asked to submit questions for the external panel within
753 10 calendar days of the date of the letter of eligibility. The questions help the panel focus
754 its deliberations on the specific issues being reconsidered.

755 The questions should draw upon the expertise of the panelists so that a response to a
756 specific issue can be provided to the Director General. They should not:

- 757 • lead
- 758 ○ for example, framed in a way to obtain a desired answer
- 759 • be general and unrelated to the scientific or regulatory merits of the submission
- 760 ○ for example, “should the device be approved?”

761 The questions will be used to develop the final questions for the panel, which will be
762 shared with all attendees in advance of the reconsideration meeting.

763 **Reconsideration meeting**

764 At a reconsideration meeting, you have an opportunity to share points in the application
765 that support your position with:

- 766 • the Director General
- 767 • designated independent reconsideration advisor within MDD
- 768 • internal reconsideration review staff or members of the external panel

769 At this meeting, there will not be:

- 770 • a debate of the issues
- 771 • a reconsideration decision made
- 772 • any new information shared

773 If an internal review, a reconsideration meeting (if requested) is held within 35 calendar
774 days from the date that the internal reconsideration review staff are identified. If an
775 external panel review, a reconsideration meeting is held within 70 calendar days from the
776 date the panel is chosen.

777 In both cases, you will be asked to:

- 778 • submit a presentation at least 15 calendar days before the meeting date
- 779 • make a formal presentation at the meeting

780 Following the presentation, questions of clarification for you or the original bureau may be
781 allowed (at the chair’s discretion).

782

783 **Recommendations to the Director General**

784 All relevant material is provided to the internal reconsideration review staff or external
785 panel members for review and consideration. The reconsideration is based on the
786 information available when the original decision was being made, the manufacturer's
787 request for reconsideration and the meeting presentation (if applicable). New information
788 that alters the original application will not be considered.

789 If an internal review, the results are presented to a designated independent
790 reconsideration advisor within MDD (who was not involved in the original decision) for
791 consideration. The advisor submits their recommendations to the Director General within
792 20 calendar days from the date that the internal reconsideration review staff are identified
793 or from the date of the meeting (if applicable).

794 If an external panel review, the panel submits a report containing their recommendations
795 for the Director General within 15 calendar days from the meeting date. Each
796 recommendation must have the support of at least 2 of the 3 panel members. Consistent
797 with its advisory role, the panel is not asked to make a decision on the application. Rather,
798 advice is solicited through questions provided earlier to the panel.

799 The reconsideration advisor prepares and presents a summary of the key points in the
800 report along with their own recommendations to the Director General within 10 calendar
801 days of receiving the panel's report.

802 **Possible outcomes of a reconsideration**

803 The Director General takes the recommendations from the reconsideration advisor and
804 external panel (if applicable) into consideration before making a decision. The decision is
805 issued within 5 calendar days from when the recommendations were received. The
806 reconsideration decision letter gives the decision and the reasons behind it.

807 There are 3 possible outcomes of a reconsideration.

808 **1. Decision upheld**

- 809 • Application is rejected or refused if the original decision is upheld for the issues
810 under reconsideration.
- 811 • Reconsideration decision letter becomes the final decision.

- 812 • You may refile your application, making the necessary changes to address the
813 issues that led to the negative decision.

814 2. Decision partially amended

- 815 • Application is rejected or refused if the reconsideration results in the original
816 decision being amended for some, but not all of the issues.
- 817 • Reconsideration decision letter becomes the final decision.
- 818 • You may refile your application, making the necessary changes to address the
819 outstanding issues.

820 3. Decision fully amended

- 821 • Application is returned to the application management process for follow-up actions
822 if the reconsideration results in the original decision being amended for all of the
823 issues identified in the original decision letter.
- 824 • The status of the application may trigger 1 of the following actions:
- 825 ○ the Minister will issue or amend, as appropriate, the MDL for the subject medical
826 device if the device meets the applicable requirements of sections 10 to 20 of
827 the regulations
- 828 ○ the application will be reinstated and processed in accordance with the
829 application management process if:
- 830 ▪ a full review of the application, if applicable, was not carried out before
831 the original decision was made (for example, rejected Class III or IV
832 application) or
- 833 ▪ there are outstanding deficiencies that were not part of the basis of the
834 original negative decision

835 Visit the section on [Refiled applications](#).

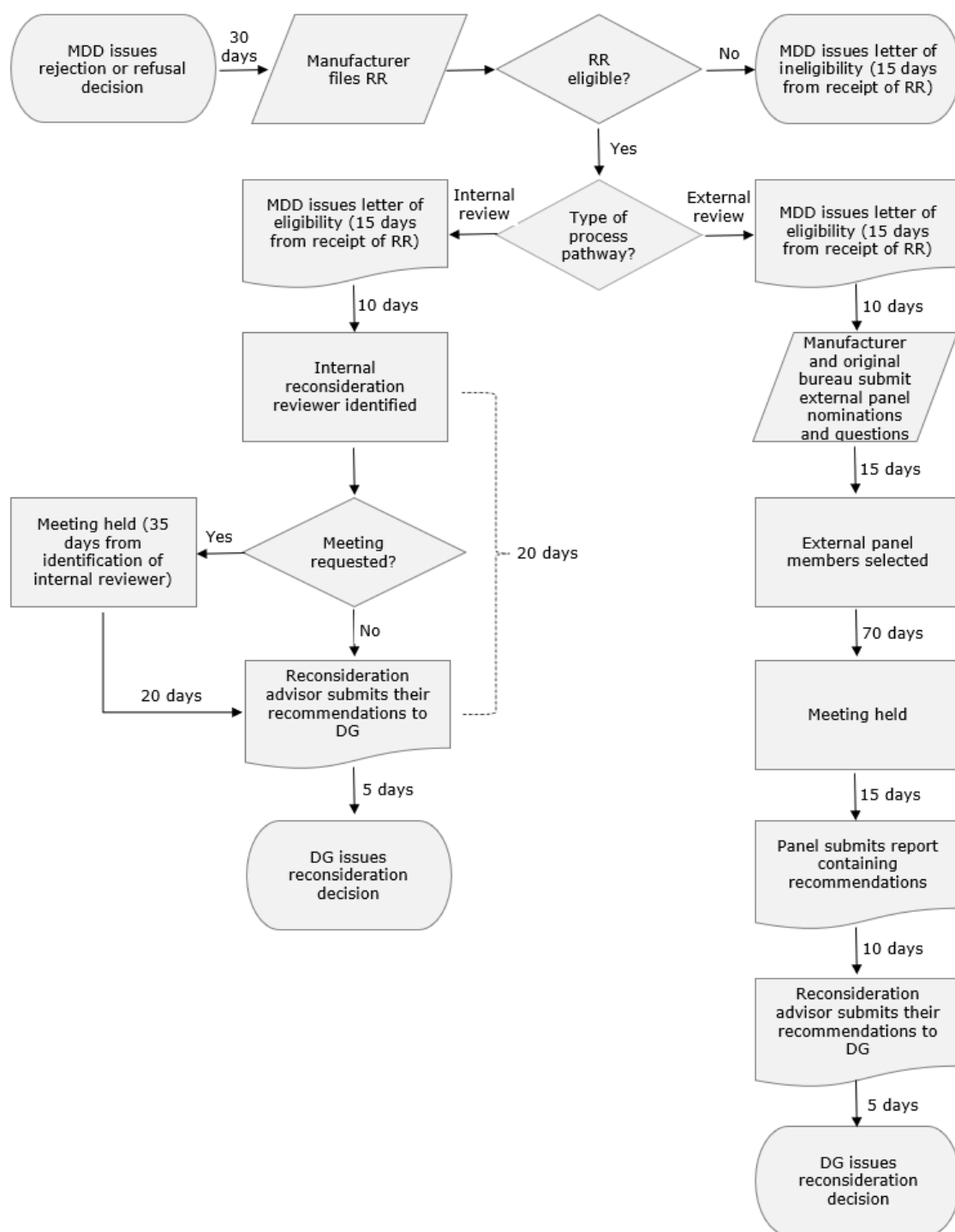
836 Reconsideration process map

837 The following map shows the key steps and target timelines in the reconsideration
838 process.

839

840

841 **Figure 1: Steps and timelines in the reconsideration process**



842

843

844 MDD – Medical Devices Directorate

845 RR – request for reconsideration

846 DG – Director General or delegate

847 Timelines are in calendar days. Timelines for MDD are target timelines.

848 Request for reconsideration form

849 This form is presented for consultation only.

Section A — Administrative information		
Application number		
Licence number (if applicable)		
Device/licence name		
Risk class	<input type="checkbox"/> Class II <input type="checkbox"/> Class III <input type="checkbox"/> Class IV	
Manufacturer	Company name Company ID (if known)	
Authorized regulatory contact (for this request for reconsideration)	Company name Company ID (if known) Contact name Title Telephone Email	
Date (this form is completed)		
Section B — Original decision		

Decision for which reconsideration is requested (attach copy of decision letter)	<input type="checkbox"/> Rejection <input type="checkbox"/> Refusal
Date when decision for which reconsideration is requested was issued	
Section C — Issues and grounds for reconsideration	
<p>This section should include a high-level summary of the issues under request for reconsideration, cross-referenced to points in the original decision letter.</p> <p>For each issue identified, the grounds for the reconsideration request should be provided in numbered paragraphs and cross-referenced to previously submitted information (for example, original application submission, response to a screening deficiency letter or additional information letter). New information that alters the original application (for example, new data, studies, labelling or quality management system certificate, or different analyses of existing data) will not be considered in the reconsideration review.</p>	